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30 June 2017

Calvin Lloyd  
Policy & Programmes Director, System Operator  
Network Rail Infrastructure Limited  
1 Eversholt Street  
London  
NW1 2DN

Dear Calvin,

**Enhancements Delivery Plan (EDP) change control: April - June 2017**

I am writing to notify you of our decisions on the recent requests you have made to amend the EDP under the change control mechanism established through the periodic review. This letter sets out our decisions for your submissions since the last publication of the EDP in March 2017.

We have made decisions on the status of changed regulated milestones (as missed or revised) in this letter, using the criteria we have agreed. We intend to log these as such in the regulated milestones table that is published alongside the Network Rail Monitor.

**LNE001a Transpennine Route Upgrade**

You are requesting to amend the GRIP 3 regulated milestone definition to 'Single Development Option' in the EDP, in line with DfT's client development remit. The regulated milestone date (December 2017) will remain unchanged.

We **approve** your requested changes to the EDP.

**LNE001b Transpennine Route Upgrade, Garforth Unregulated Outputs**

You are intending to remove all post-GRIP 3 unregulated milestones relating to Garforth from the EDP (these are currently combined under the heading 'Micklefield-Garforth' in the EDP). The GRIP 3 regulated milestone will still be shown in the EDP as having been completed in May 2017.

We understand the unregulated milestones for Garforth will be confirmed as part of the Transpennine Route Upgrade design and delivery programme in December 2017.

We note your intended changes to non-regulated items in the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

### **LNE002a IEP Gauge clearance (Kings Cross to Aberdeen/Inverness)**

You are requesting to delay the regulated milestone for Entry Into Service (Infrastructure authorised for passenger use) for gauging from August 2017 to April 2018. We understand that this change will allow time for a more cost efficient contracting strategy and that the new Entry Into Service date is still ahead of the planned output delivery of IEP train services in September 2018.

You are also requesting to update the indicator milestone for gauge clearance from Edinburgh to Aberdeen/Inverness in the EDP, from March 2016 to April 2018.

We **approve** your requested changes to the EDP. We intend to log the August 2017 regulated milestone as **revised**.

### **LNE003 East Coast Main Line Traction Power Supply Upgrade**

You are requesting to amend the regulated milestone for GRIP 3 (AIP completion) in the EDP from February 2017 to 'tbc', due to ongoing uncertainty of the solution and required strategy to progress to delivery. We note that the change control request was prepared in March 2017, after the regulated milestone date had passed.

We note that several Train Operating Companies did not support the change, on the grounds that their future operations are dependent on the upgraded power supply, which will now be delivered late.

We **approve** your requested changes to the EDP. However, we request that you determine a new milestone date for inclusion in the September update to the EDP and submit a new change control which addresses the stakeholder's objections.

We intend to log the February 2017 regulated milestone as **missed**, because the change was within Network Rail's control and the change control was submitted after the milestone date.

### **F003 East Coast Connectivity – York North Throat**

You are requesting to delay the regulated milestone for GRIP 3 (Approval In Principal) from December 2017 to August 2019, due to priority changes and interdependencies between other East Coast Connectivity projects.

We **approve** your requested changes to the EDP. We intend to log the December 2017 regulated milestone as **revised**.

### **CashDfT002 Gospel Oak to Barking Electrification**

You are requesting a temporary amendment to the EDP wording to show all future milestone dates as “tbc”, pending Network Rail’s planned communication of the milestone dates in July 2017.

We expect you to update the EDP before the end of July 2017 to provide the milestone dates, as proposed in the change control submission. We will hold Network Rail to account for delivering this update by the end of July 2017. We will provide a separate letter to approve the new regulated milestone dates, to coincide with the EDP update in July.

### **CR003a East West Rail Phase 2: Oxford to Bletchley Environmental Impact Assessment**

You are requesting an increase in EWR Phase 2 funding, to accommodate the impact of DfT’s instruction to cancel Oxford to Bletchley Electrification (see next change) following completion of GRIP 3. There is no change to the regulated output definition or milestone proposed, but you are requesting to remove Oxford to Bletchley from the wording in the EDP entry.

The increase in funding is to allow for additional TWAO preparation and consultation, as the original TWAO was written on the basis of electric trains being quieter than diesel. There is also a delay to the TWAO timescales which introduces a high risk to the planned delivery timescales – completion at end of CP6.

We note your intended changes to non-regulated items in the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

### **CR003b East West Rail: Oxford to Bletchley Cancellation**

You are requesting to remove all non-regulated, post-GRIP 3 milestones and to amend the EDP wording, based on your instruction from DfT to stop development of the project following completion of GRIP 3.

The regulated milestone date for GRIP 3 (July 2017) will remain unchanged, but you are requesting to amend the definition from ‘Full single option selection’ to ‘Single option selection’, to reflect the reduced scope as agreed with DfT.

We **approve** your requested changes to the EDP.

### **ES003m Kenilworth station infrastructure interventions**

You are requesting to delay the regulated milestone for Entry Into Service (Infrastructure ready for use) from July 2017 to December 2017, following delays to the Banbury re-signalling project.

We note that the evidence provided indicates there was no feedback from stakeholders on this change control request.

We **approve** your requested changes to the regulated milestone date in the EDP, but we request that the definition of the EIS milestone is changed from “Infrastructure ready for use” to “Infrastructure authorised for passenger use”, as agreed previously for other EDP entries.

We intend to log the July 2017 regulated milestone as **missed**, because the change was within Network Rail’s control.

### **CR005 North West Electrification (NWEF) Phase 5**

You are requesting to reduce the project scope, including cancellation of OLE between: Miles Platting and Stalybridge; Phillips Park and Ashbury’s; Bromley Street Junction and Brewery Junction; a cable route between Heyrod and Stalybridge sides of Stalybridge tunnel; a cable diversion at Moss Side Farm; and clearance works at Sunnyside Road bridge, Katherine Street tunnel, Ashton-under-Lyne and Stalybridge station. We understand a separate change control may seek to re-introduce this reduced scope into Trans Pennine Route Upgrade works in CP6.

You are also requesting to delay the regulated milestone for Entry Into Service from December 2017 to May 2018.

We note that this change control has received ministerial approval. We also note that a Train Operating Company has objected to this change due to likely impacts on their future performance and we are concerned that there is a lack of information on performance implications in the change control form. We have seen subsequent evidence that you shared this information with the funder before a decision was taken. We expect change control forms to reflect accurate information on the impacts on performance and safety and we will be monitoring change control forms closely to ensure this is done in the future.

We **approve** your requested changes to the EDP. We intend to log the December 2017 regulated milestone as **revised**.

### **WX002 South London HV grid**

You are requesting to delay the regulated milestone for GRIP 3 (Single option selection and Approval In Principle) from December 2017 to January 2019. The delay has occurred because Network Rail have identified alternative design solutions which may provide improved value for money and capability.

You are also requesting to amend several indicative milestones (after GRIP 3) in the EDP to state “TBC”. We understand that new milestone dates will be identified for these indicative milestones on or before completion of GRIP 3 option selection.

We **approve** your requested changes to the EDP. We intend to log the December 2017 regulated milestone as **revised**.

## **K004 New Cross Grid**

You are requesting to delay the regulated milestone for Entry Into Service (Commission into service new traction supplies) from August 2017 to September 2018. This delay is because the original design solution was found to have constructability and cost issues.

You are also requesting to update several indicator milestones (2 historical milestones which were missed and 2 future milestones).

We **approve** your requested changes to the EDP. We intend to log the August 2017 regulated milestone as **missed**, because the change was within Network Rail's control.

## **CashDfT004 Station Commercial Project Facility 2 (SCPF2)**

You are requesting to update the EDP entry to provide a list of schemes being delivered by the fund.

We note your intended changes to the EDP but, as there are no changes to regulated outputs, no approval is needed from the ORR.

## **SC002 EGIP Key Output 1**

You are requesting to redefine the regulated milestone for 'GRIP 6 - Infrastructure ready for use' as 'Entry Into Service - Infrastructure authorised for passenger and freight use' and to delay this milestone from March 2017 to October 2017. The delay is due to slippage in the delivery programme.

You are also requesting: updates to the wording in the EDP entry; and increased funding to complete the works.

We **approve** your requested changes to the EDP. We intend to log the March 2017 regulated milestone as **missed**.

## **SC003 EGIP Key Outputs 2, 3 & 4**

You are requesting to redefine the regulated milestone for 'GRIP 6 - Infrastructure ready for use' as 'Entry Into Service - Infrastructure authorised for passenger use' and to delay this milestone from March 2019 to March 2020. The delay is due to the elongated TAWS approval process.

You are also requesting: updates to the wording in the EDP entry; and increased funding to complete the works.

We **approve** your requested changes to the EDP. We intend to log the March 2019 regulated milestone as **revised**.

### **SC008 Rolling Programme of Electrification**

You are requesting to redefine the SDA and Shotts regulated milestones for 'GRIP 6 - Infrastructure ready for use' as 'Entry Into Service - Infrastructure authorised for passenger and freight use'. The regulated milestone dates (both March 2019) will not change.

You are also requesting: updates to the wording in the EDP entry; and increased funding to complete the works.

We **approve** your requested changes to the EDP.

### **SC009 Aberdeen to Inverness**

You are requesting to redefine the regulated milestone for 'GRIP 6 - Infrastructure ready for use' as 'Entry Into Service - Infrastructure authorised for passenger and freight use' and to delay this milestone from March 2019 to December 2019 (to be confirmed in September 2017). The delay is due to additional reviews with stakeholders as a result of the GRIP 3 outputs.

You are also requesting: updates to the wording in the EDP entry; and increased funding to complete the works.

We **approve** your requested changes to the EDP. We intend to log the March 2019 regulated milestone as **missed**.

### **SC010 Highland Main Line**

You are requesting to redefine the regulated milestone for 'GRIP 6 - Infrastructure ready for use' as 'Entry Into Service - Infrastructure authorised for passenger and freight use'. The regulated milestone date (March 2019) will not change. You are also requesting minor updates to the wording in the EDP entry.

We **approve** your requested changes to the EDP.

### **SC012 Motherwell area re-signalling**

You are requesting to redefine the regulated milestone for 'GRIP 6 - Infrastructure ready for use' as 'Entry Into Service - Infrastructure authorised for passenger and freight use'. The regulated milestone date (September 2018) will not change.

We **approve** your requested changes to the EDP.

## Scottish Funds

You are requesting to update the EDP wording for the following Scottish Funds, to provide more accurate lists of delivered and proposed projects:

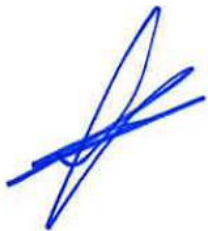
- SF001 Scottish Stations Fund
- SF002 Scottish Strategic Rail Freight Investment Fund
- SF003 Scottish Network Improvement Fund
- SF004 Future Network Development Fund
- SF005 Scotland Level Crossing Fund

We **approve** your requested changes to these EDP entries.

## General comments – Information on change control forms

As noted above, there were several objections by Train Operating Companies to change controls submitted this quarter. These objections all relate to the information on performance impacts described in the change control forms. As a result we will be actively monitoring the information in change control submissions and, where information is found to be missing or inaccurate, we may reject change controls or take further action, including licence enforcement action if we suspect a systemic problem with the process.

Yours sincerely,

A handwritten signature in blue ink, consisting of several overlapping, fluid strokes that form a stylized, abstract shape.

**Feras Alshaker**

ORR - Head of Planning & Enhancements