

Amanda White  
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West Midlands Combined Authority  
16 Summer Lane  
Birmingham  
B19 3SD

Your Ref --

Our Ref PRM-IOP-0376-S2-20240115-01

Case Ref PRM-IOP-0376

IN Number  
UK/61/2024/0001

Date 15 January 2024

**Contact: Cherry Lam**

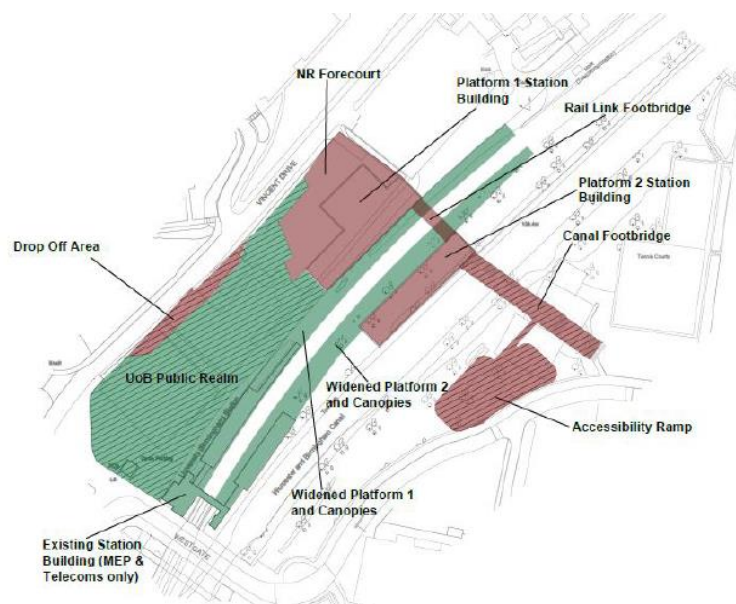
Dear Amanda

## **THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED UNIVERSITY STATION STAGE 2**

I refer to your application for authorisation received on 8 January 2024. Following review of your application, I can confirm that ORR grants authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended.

This authorisation is for the placing in service of the following within the red and non-hatched areas of University Station Stage 2 works which covers:

- Pavilion Station Building 1 & 2
- Rail Link Footbridge
- Wayfinding
- New Station Systems
- Network Rail Forecourt.





**This authorisation is defined by the following limits:**

Location	ELR	Mileage
University Station	BAG1	44M 73Ch

There are no restrictions or limitations of use on the structural subsystem as described in the UK Declaration of Verification (Reference 148637-TfWM-ZZ-ZZ-STM-ESE-000001, Version 2.0 dated 10 January 2024), the ApBo File Stage 2 (Reference 765005-9870, Issue 02, dated 3 January 2024) and the R02 WMCA ApBo Assessment Report (Reference 765005-9503, Issue 02, dated 3 January 2024), contained in your technical file.

The Safety Assessment Report (Reference 765005-10093 AsBo WMCA University Station Independent Assurance Stage 2 works, Issue 01 dated 3 January 2024) undertaken by the Assessment Body concludes that you have demonstrated compliance with CSM-RA and supports the project's claim of compliance with CSM-RA. No recommendations are made. All assessment record observations are closed.

Your Declaration of Control of Risk (DoCoR) (Reference 148637-TfWM-ZZ-ZZ-STM-ESE-000002, Version 1.0 dated 8 January 2024) states that all hazards and associated risks, safety requirements and safety measures resulting from the risk assessment have been controlled to an acceptable level. Hazard closure, provision evidence and actions to close them as part of the entry into service process have been acknowledged in the DoCoR. The project is required to satisfy themselves and North West & Central System Review Panel that these have been sufficiently addressed before entry into passenger service.

The infrastructure subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.



Please note that the person who applied for the authorisation shall send particulars to the owner of the infrastructure to enable the owner of the infrastructure to enter the items on the Register of Infrastructure in accordance with Table 1 of Commission Implementing Decision 2019/777 as amended by Regulations 21 to 30 of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service may apply to the ORR for a determination of type. You will receive the type authorisation after providing the relevant data to the ORR.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this infrastructure subsystem(s).

This decision letter will be published on ORR's website.

Yours sincerely

**Steve Fletcher**  
**Deputy Director, Engineering & Asset Management**

Cc

James Le Grice	Head of Interoperability, Safety and Standards DfT
David Galloway	Head of System Compatibility, Network Rail
Giles Turner	ORR Head of Interoperability and Rail Vehicle Engineering
Dermot Kelly	ORR Head of Civil Engineering
Umar Ali	ORR HM Principal Inspector of Railways
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