



**ORR assessment of
progress on occupational
health by 2014, and
priorities to 2019**

**List of references and
footnotes**

June 2015

Full list of references and footnotes

¹ ORR's 2014-19 health programme:

http://orr.gov.uk/_data/assets/pdf_file/0007/12031/occup-healthprog-2014-19.pdf

² ORR's 2010-14 health programme:

http://orr.gov.uk/_data/assets/pdf_file/0013/3550/occup-health-prog-2010-14.pdf

³ ORR's 2011 baseline report:

http://orr.gov.uk/_data/assets/pdf_file/0009/5796/work_related_ill_health_overview_2010.pdf

⁴ HSE health & safety statistics annual report 2013/14:

<http://www.hse.gov.uk/statistics/index.htm>

⁵ ONS sickness absence report 2014: <http://www.ons.gov.uk/ons/rel/lmac/sickness-absence-in-the-labour-market/2014/rpt---sickness-absence-in-the-labour-market.html>

⁶ HSE cancer burden research: <http://www.hse.gov.uk/research/rrhtm/rr800.htm>

⁷ HSE cancer burden research by cause: <http://www.hse.gov.uk/research/rrhtm/rr800.htm>

⁸ Improving health and work: changing lives' report:

www.hse.gov.uk/statistics/causdis/cancer/index.htm

⁹ Improving health and work: changing lives' report:

<https://www.gov.uk/government/publications/improving-health-and-work-changing-lives>

¹⁰ CBI 2013 absence survey report: <http://www.cbi.org.uk/media-centre/press-releases/2013/07/work-absence-at-record-low-but-still-costs-economy-%C2%A314bn-a-year-cbi-pfizer-survey/>

¹¹ HSE cost data: <http://www.hse.gov.uk/statistics/cost.htm>

¹² Labour Force Survey: <http://www.hse.gov.uk/statistics/sources.htm#lfs>

¹³ Railway operatives are defined using the Standard Occupational Classification (SOC):

for some data the 2010 classification has been used, (8143 rail construction and maintenance operatives; 8231 train and tram drivers; 8234 rail transport operatives), for other data the 2000 classification has been used (3514 train drivers; 8143 rail construction and maintenance operatives; 8216 rail transport operatives). The coverage of the SOC2010 and SOC2000 classification is largely the same.

¹⁴ HSE updated health data report for ORR: <http://www.hse.gov.uk/statistics/adhoc-analysis/work-related-ill-health-railway-operatives.pdf>

¹⁵ THOR scheme: <http://www.hse.gov.uk/statistics/sources.htm>

¹⁶ HSE cancer burden research by industry: <http://www.hse.gov.uk/statistics/tables/index.htm#cancer>

¹⁷ RIDDOR disease reports: <http://dataportal.orr.gov.uk/displayreport/report/html/6b27a1f8-72c7-4287-835c-386cc74f785b>

¹⁸ RIDDOR disease reports: <http://dataportal.orr.gov.uk/displayreport/report/html/6b27a1f8-72c7-4287-835c-386cc74f785b>

¹⁹ RIDDOR disease reports: <http://dataportal.orr.gov.uk/displayreport/report/html/6b27a1f8-72c7-4287-835c-386cc74f785b>

- ¹⁷ ORR guidance on reporting diseases: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/investigating-health-and-safety-incidents/reporting-riddor-incidents/reporting-occupational-diseases>
- ¹⁸ Industry Roadmap: <http://www.rssb.co.uk/improving-industry-performance/workforce-passenger-and-the-public/workforce-health-and-wellbeing>
- ¹⁹ RSSB impaired health research: <http://www.rssb.co.uk/Library/improving-industry-performance/2014-02-report-WHWP-costs-of-impaired-health-across-network.pdf?web=1>
- ²⁰ ONS sickness absence 2014 report: <http://www.ons.gov.uk/ons/rel/lmac/sickness-absence-in-the-labour-market/2014/rpt---sickness-absence-in-the-labour-market.html>
- ²¹ CIPD 2014 Absence Management report: <https://www.cipd.co.uk/hr-resources/survey-reports/absence-management-2014.aspx>
- ²² TfL: <https://www.tfl.gov.uk/corporate/publications-and-reports/>
- ²³ Network Rail: <http://www.networkrail.co.uk/publications/sustainability-update/workplace/>
- ²⁴ CIPD: <http://www.cipd.co.uk/research/absence-management-survey.aspx>
- ²⁵ Network Rail Annual Returns: <http://www.networkrail.co.uk/publications/Annual-return/>
- ²⁶ Network Rail 2014 Annual Return: <http://www.networkrail.co.uk/publications/annual-return-2014.pdf>
- ²⁷ TUC biennial safety representatives survey 2014: <http://www.tuc.org.uk/health-and-safety/health-and-safety-reps-survey-2014>
- ²⁸ McNulty report: <https://www.gov.uk/government/publications/realising-the-potential-of-gb-rail>
- ²⁹ Final Determination for Network Rail 2014-19: http://orr.gov.uk/_data/assets/pdf_file/0011/452/pr13-final-determination.pdf
- ³⁰ HSE ill health cost model: <http://www.hse.gov.uk/statistics/sources.htm#hse-cost-model>
- ³¹ CIPD Absence Management survey 2014: <http://www.cipd.co.uk/research/absence-management-survey.aspx>
- ³² ORR health case studies: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/guidance-and-research/occupational-health-guidance/case-studies>

- ³³ LOROL case study: http://orr.gov.uk/_data/assets/pdf_file/0018/5355/wellbeing-study-london-overground.pdf
- ³⁴ Merseyrail case study: http://orr.gov.uk/_data/assets/pdf_file/0006/3588/oh-case-study-heartontrack.pdf
- ³⁵ NR case study: http://orr.gov.uk/_data/assets/pdf_file/0006/4488/physiotherapy-pilot.pdf.
- ³⁶ IOSH No Time to Lose: <http://www.iosh.co.uk/NTTL/Home/About-NTTL.aspx>
- ³⁷ Ballast Dust Working Group: <https://www.safety.networkrail.co.uk/Toolbox-for-Supervisor/National-Supply-Chain-NSC/Ballast-Dust-Working-Group>
- ³⁸ Track Safety Alliance: <http://www.tracksafetyalliance.co.uk/h/about-us/tsa-videos/65/>
- ³⁹ ATOC guide: <http://www.atoc.org/download/clientfiles/files/2014-06-guide-T759-wheelchair-users-online-v13.pdf>
- ⁴⁰ ARIOPS: <http://www.ariops.org.uk/>
- ⁴¹ ORR TU reps conference 2013: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/occupational-health/presentations-and-events>
- ⁴² Business In The Community FTSE 100: <http://www.bitc.org.uk/our-resources/report/ftse-100-public-reporting-wellbeing-and-engagement>
- ⁴³ Department of Health PHR Deal: <https://responsibilitydeal.dh.gov.uk/>
- ⁴⁴ RSSB health & wellbeing resources: <http://www.rssb.co.uk/improving-industry-performance/workforce-passenger-and-the-public/workforce-health-and-wellbeing/behavioural-change/health-and-wellbeing-assessment/health-and-wellbeing-assessment-resource>
- ⁴⁵ ORR independent evaluation report: http://orr.gov.uk/_data/assets/pdf_file/0019/14815/accent-report-on-2010-14-occupational-health-programme.pdf
- ⁴⁶ ORR quarterly health updates: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/monitoring-and-reporting/occupational-health-quarterly-updates>
- ⁴⁷ ORR public register: <http://orr.gov.uk/publications/public-register>
- ⁴⁸ ORR RM3 guidance: http://orr.gov.uk/_data/assets/pdf_file/0013/2623/management-maturity-model.pdf

- ⁴⁹ ORR RM3 on health: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/monitoring-and-reporting/occupational-health-and-the-railway-management-maturity-model>
- ⁵⁰ ORR guidance on assurance: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/monitoring-and-reporting/health-risk-management-assurance>
- ⁵¹ ATW case study: http://orr.gov.uk/_data/assets/pdf_file/0020/2783/atw-stress-case-study.pdf
- ⁵² Scotrail case study: http://orr.gov.uk/_data/assets/pdf_file/0011/3611/oh-case-study-legionella-scotrail.pdf
- ⁵³ ORR mandatory investigation policy: http://orr.gov.uk/_data/assets/pdf_file/0008/14399/mandatory-investigations-policy-and-guidance.pdf
- ⁵⁴ ORR guidance on rail manager competence: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/guidance-and-research/occupational-health-guidance/rail-manager-competence>
- ⁵⁵ IOSH No Time to Lose pledge: <http://www.iosh.co.uk/NTTL/Home/Get-involved.aspx>
- ⁵⁶ The indicator measures on incidence and cost of work related ill health for 2009-10 have been recalculated to improve reliability, details of which are summarised in Annex C.
- ⁵⁷ ORR data portal report: <http://dataportal.orr.gov.uk/displayreport/report/html/f215078d-db4c-4ef0-b297-cd6d1cdae7b2>
- ⁵⁸ ORR data portal health: <http://dataportal.orr.gov.uk/browsereports/5>
- ⁵⁹ ORR health indicator survey forms: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/monitoring-and-reporting/occupational-health-assessment>
- ⁶⁰ ORR health & safety regulation landing page: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety>
- ⁶¹ ORR occupational health landing page: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/occupational-health>
- ⁶² ORR stress guidance: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/guidance-and-research/occupational-health-guidance/work-related-stress>
- ⁶³ ORR health case studies: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/guidance-and-research/occupational-health-guidance/case-studies>

⁶⁴ORR rail manager competence on health: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/guidance-and-research/occupational-health-guidance/rail-manager-competence>

⁶⁵ORR quarterly health updates: <http://orr.gov.uk/what-and-how-we-regulate/health-and-safety/monitoring-and-reporting/occupational-health-quarterly-updates>

⁶⁶ Due to a change in how ORR records web site visits, comparable data are only available from December 2010.

⁶⁷ A third party coding issue on ORR's web site between 17 February and 20 June 2014 distorted the raw data for page views, inflating the figures artificially. We have adjusted the data for this period by applying monthly averages, to increase the reliability of the data. For the 2013/14 data, for the adjusted monthly estimate for March 2014 is based on the monthly average for April 2013 to February 2014. For the April to September 2014 data, the 6 monthly average figures were applied for April to June 2014.

Use of the name, the Office of Rail and Road, reflects the new roads functions conferred on ORR by the Infrastructure Act 2015. Until this name change is confirmed by legislation, the Office of Rail Regulation will continue to be used in all documents, decisions and matters having legal effects or consequences.



© Crown copyright 2015

This publication is licensed under the terms of the Open Government Licence v3.0 except where otherwise stated. To view this licence, visit nationalarchives.gov.uk/doc/open-government-licence/version/3 or write to the Information Policy Team, The National Archives, Kew, London TW9 4DU, or email: psi@nationalarchives.gsi.gov.uk.

Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

This publication is available at orr.gov.uk

Any enquiries regarding this publication should be sent to us at orr.gov.uk