

RAILWAYS ACT 1993

2013 PERIODIC REVIEW

**REVIEW IMPLEMENTATION NOTICE:
FRANCHISED PASSENGER TRACK ACCESS AGREEMENTS**

TO:

- (1) the persons whose names are set out in Part 1 of Annex 1 (the “**Train Operators**”);
- (2) the persons whose names are set out in Part 2 of Annex 1 to this Review Notice;
- (3) Network Rail Infrastructure Limited (“**Network Rail**”); and
- (4) the Secretary of State, the Scottish Ministers and the Treasury,

together the “**Addressees**”.

- 1 This notice is a review implementation notice given under paragraph 7 of Schedule 4A to the Railways Act 1993 (the “**Act**”).
- 2 On 20 December 2013, the Office of Rail Regulation (“**ORR**”) gave a review notice entitled “Review Notice: Franchised Passenger Track Access Agreements” (the “**franchised passenger track access review notice**”) specifying the relevant changes (within the meaning of Schedule 4A to the Act) which ORR proposed to make for or in connection with giving effect to its conclusions on a review of each of the relevant track access agreements, which are listed in Part 1 of Annex 1 to this notice (the “**relevant track access agreements**”), and in particular of:

- (a) the amounts payable by Network Rail and each of the Train Operators to each other under each of the relevant track access agreements; and
- (b) the times at which, and manner in which, those amounts are payable,

(the “**franchised passenger periodic review**”).

Copies of the franchised passenger track access review notice were served on each of the Addressees.

- 3 On 11 February 2014, the ORR gave a notice of agreement entitled “Notice of Agreement: Franchised Passenger Track Access Agreements” (the “**franchised passenger track access notice of agreement**”) in respect of the franchised passenger track access review notice.
- 4 No relevant person gave a termination notice pursuant to paragraph 6 of Schedule 4A to the Railways Act 1993 during the period of 28 days beginning with the day on which the franchised passenger track access notice of agreement was served on it.
- 5 The ORR is therefore required by paragraph 7(1)(a) of Schedule 4A to the Railways Act 1993 to give a review implementation notice, and the ORR hereby:
 - (a) gives notice that its conclusions on the franchised passenger periodic review are to be implemented as proposed in the franchised passenger track access review notice; and
 - (b) directs Network Rail and each of the Train Operators to amend the relevant track access agreements to which they are a party so that the proposed relevant changes specified in the franchised passenger track access review notice in relation to each relevant

track access agreement come into operation on and from
1 April 2014.

6 Amendments made pursuant to the direction in paragraph 5(b) of this notice shall be made by means of an agreement in the form specified in Annex 2 to this notice.

7 Notwithstanding:

(a) the date on which any agreement is made pursuant to the direction in paragraph 5(b) of this notice; or

(b) the form in which any such agreement is made,

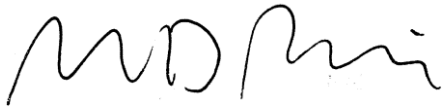
any provision of any such agreement which gives effect to the relevant changes specified in the franchised passenger track access review notice shall come into operation on and from 1 April 2014.

8 In this notice, unless the context otherwise requires:

(a) words and phrases defined in the Railways Act 1993 (as amended) have the same meaning in this notice;

(b) words and phrases defined in the franchised passenger track access review notice have the same meaning in this notice; and

(c) the singular includes the plural and *vice versa*.



RICHARD PRICE

Chief Executive

For and on behalf of

THE OFFICE OF RAIL REGULATION

18 March 2014



**ANNEX 1: TRAIN OPERATORS AND RELEVANT TRACK ACCESS
AGREEMENTS**

Part 1 - Train Operators

Train Operator (collectively, the “Train Operators” and each a “Train Operator”)	Train Operator Company Number	Original Date of Track Access Agreement
Abellio Greater Anglia Limited	06428369	10 December 2004
Arriva Trains Wales/Trenau Arriva Cymru Limited	04337645	5 February 2004
c2c Rail Limited	02938993	23 May 2003
The Chiltern Railway Company Limited	03007939	5 February 2004
East Coast Main Line Company Limited	04659708	4 February 2005
East Midlands Trains Limited	05340682	11 November 2007
First Capital Connect Limited	05281077	9 February 2006
First Greater Western Limited	05113733	8 December 2006
First/Keolis Transpennine Limited	04113923	28 February 2013
First ScotRail Limited	SC185018	10 December 2004
London & Birmingham Railway Limited	05814584	11 November 2007
London & South Eastern Railway Limited	04860660	6 December 2007
London Overground Rail Operations Ltd	05668786	9 November 2007
Merseyrail Electrics 2002 Limited	04356933	17 July 2003

Train Operator (collectively, the “Train Operators” and each a “Train Operator”)	Train Operator Company Number	Original Date of Track Access Agreement
Northern Rail Limited	04619954	6 January 2010
Southern Railway Limited	06574965	19 May 2010
Stagecoach South Western Trains Limited	05599788	20 May 2004
West Coast Trains Limited	03007940	1 September 2011
XC Trains Limited	04402048	11 November 2007

Part 2 - Other Addressees

Rail for London Limited

Merseyside Passenger Transport Executive

Welsh Government

ANNEX 2: TEMPLATE SUPPLEMENTAL AGREEMENT

(ATTACHED)

[•]th SUPPLEMENTAL AGREEMENT

DATED

Between

NETWORK RAIL INFRASTRUCTURE LIMITED

- and -

[Insert name of Train Operator]

**relating to the implementation of the
2013 periodic review (PR13)**

THIS [●]th **SUPPLEMENTAL AGREEMENT** is dated the [] day of
[] 2014 and made

BETWEEN

- (1) Network Rail Infrastructure Limited, a company registered in England under number 2904587, having its registered office at Kings Place, 90 York Way, London N1 9AG (“**Network Rail**”); and
- (2) [*INSERT NAME OF [TRAIN OPERATOR]*], a company registered in [] under number [] having its registered office [] (the “**Train Operator**”).

WHEREAS

- (A) The parties entered into a track access agreement dated [] (the “**Agreement**”).
- (B) The parties now propose to enter into this Supplemental Agreement in order to comply with and give effect to the directions set out in the review implementation notice given by the Office of Rail Regulation on 18 March 2014 in connection with the implementation of the franchised passenger periodic review.

IT IS AGREED AS FOLLOWS:

1 INTERPRETATION

In this Supplemental Agreement, unless the context otherwise requires:

- (a) “**franchised passenger periodic review**” means the access charges review known as the 2013 periodic review, implementation of which was initiated by the Office of Rail Regulation publishing and serving the franchised passenger track access review notice;

- (b) “**franchised passenger track access review notice**” means the document entitled “Review Notice: Franchised Passenger Track Access Agreements” given by the Office of Rail Regulation on 20 December 2013 in relation to the proposed relevant changes to be made to, among other things, the Agreement;
- (c) “**regulated amendments**” has the meaning ascribed to it in paragraph 3.2;
- (d) “**relevant changes**” means the amendments specified, to the extent applicable to the Agreement, in Annexes 2 and 3 of the franchised passenger track access review notice;
- (e) “**relevant date and time**” means 1 April 2014 at 02:00 hours; and
- (f) words and phrases defined in, and rules of interpretation set out in, the Agreement shall have the same meaning and effect when used in this Supplemental Agreement.

2 EFFECTIVE DATE

Notwithstanding the date on which the parties signed this Supplemental Agreement, it shall take effect on and from the relevant date and time.

3 AMENDMENTS TO THE AGREEMENT

3.1 Standard amendments

Subject to paragraph 3.2, the relevant changes shall be made to the Agreement.

3.2 Regulated amendments

- 3.2.1 If, before the relevant changes come into operation in accordance with this paragraph 3, the Agreement is amended in a manner which is:

(a) approved by the Office of Rail Regulation under section 22 of the Act;
or

(b) directed by the Office of Rail Regulation under section 22A or 22C of the Act,

(each a “**regulated amendment**”), then:

- (i) the relevant changes shall come into operation in relation to the Agreement subject to the regulated amendments; and
- (ii) if there is any conflict between a relevant change and a regulated amendment, the regulated amendment shall take precedence.

3.2.2 The following amendments will not be considered regulated amendments for the purpose of this Supplemental Agreement:

(a) amendments made to any provision within Schedule 7, other than Appendix 7C, of the Agreement under the Passenger Access (Short Term Timetable and Miscellaneous Changes) General Approval 2009 issued by ORR on 25 November 2009; and

(b) amendments made to any provision within Schedule 8 of the Agreement under the Passenger Access (Short Term Timetable and Miscellaneous Changes) General Approval 2009 issued by ORR on 25 November 2009.

3.3 Continuing Agreement

Except as provided in this paragraph 3, the Agreement, as amended by this Supplemental Agreement, shall remain in full force and effect in accordance with its terms.

4 GOVERNING LAW

This Supplemental Agreement shall be governed by and construed in accordance with the laws of [England and Wales] [Scotland]¹.

5 [COUNTERPARTS

This Supplemental Agreement may be executed in two counterparts which, taken together, shall constitute one and the same document. Either party may enter into this Supplemental Agreement by signing either of such counterparts.]²

¹ Delete as applicable.
² For supplemental agreements subject to Scots Law, please delete this paragraph as it is not required. For supplemental agreements subject to the laws of England & Wales, please retain this paragraph, removing only the square brackets and this footnote.

IN WITNESS of which the duly authorised representatives of Network Rail and the Train Operator have executed this Supplemental Agreement on the date first above written.

Signed by.....

Print name

Duly authorised for and on behalf of

NETWORK RAIL INFRASTRUCTURE LIMITED

Signed by

Print name

Duly authorised for and on behalf of

[NAME OF TRAIN OPERATOR]