



OFFICE OF **RAIL REGULATION**

8 August 2006

*London Metro Team Manager: Tom Wake*

Mr P Cooper  
Railway Safety Case Manager  
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Our ref: Case 4022703

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Dear Mr Cooper

**RAILWAY SAFETY REGULATIONS 1999 – APPLICATION FOR EXEMPTION FROM  
REGULATION 3(1) – OPERATION IN POSSESSIONS WITHOUT A WORKING TRAIN  
PROTECTION SYSTEM**

HMRI has been reviewing the existing exemption from Regulation 3(1) of the Railway Safety Regulations 1999 for trains operating in possessions on the LUL network without a working train protection system.

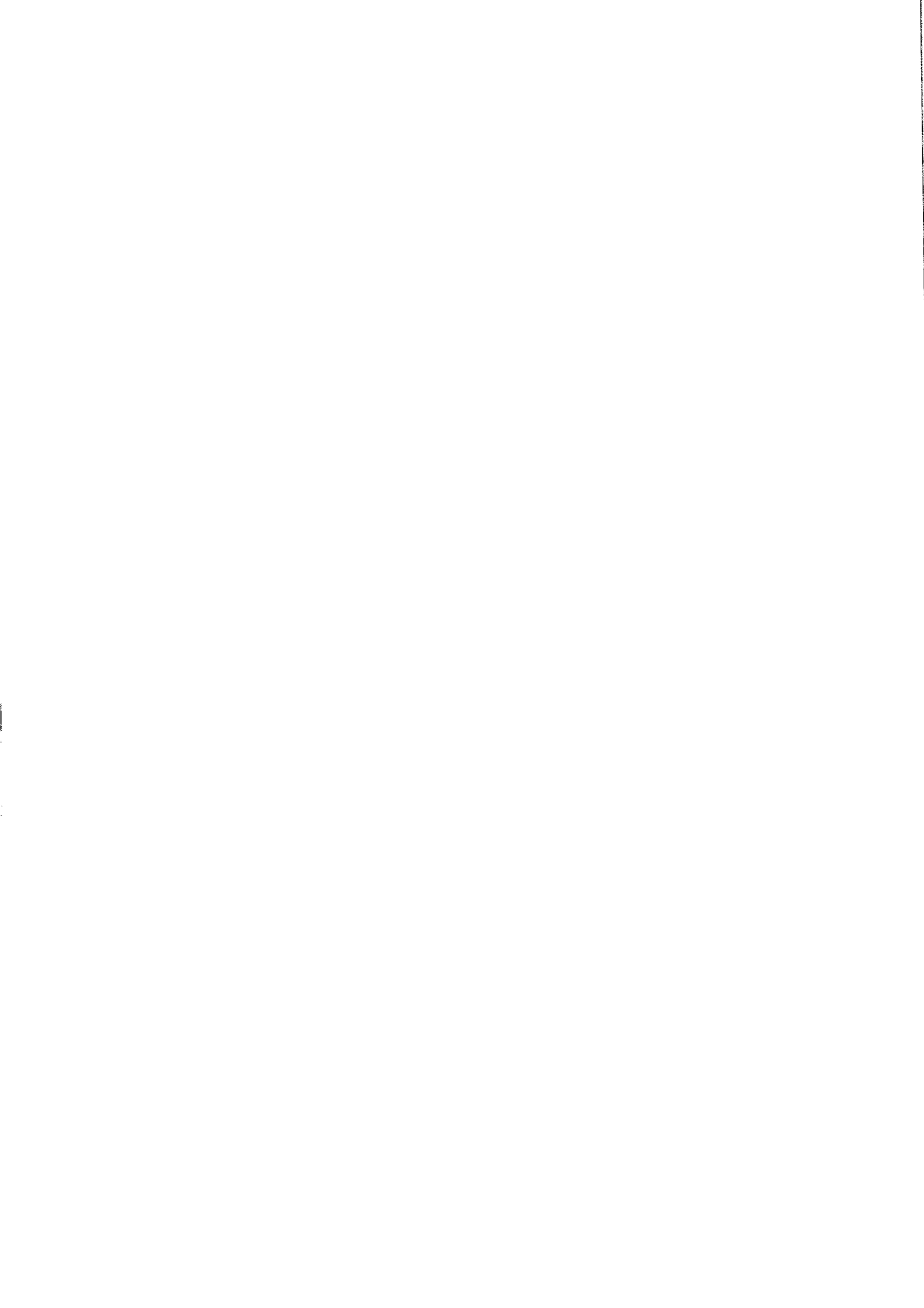
A new exemption has been granted, which applies to London Underground Limited, TransPlant and GB Railfreight. Please find enclosed a copy of TransPlant's certificate. A copy of all three certificates will be posted on the ORR website. The original certificates remain with HMRI.

Yours sincerely

Giles Turner  
HM Inspector of Railways



EXISTENCE IN PEOPLE





OFFICE OF RAIL REGULATION

## THE RAILWAY SAFETY REGULATIONS 1999 (S.I. 1999/2244)

### Certificate of Exemption (Grant to train operator: TransPlant)

#### Grant

1. The Office of Rail Regulation, in exercise of the power conferred on it by regulation 6(1) of the Railway Safety Regulations 1999 as amended<sup>1</sup> ("the Regulations), having consulted those persons it considers appropriate in accordance with regulation 6(2) of the Regulations and having had regard to the matters specified in regulation 6(3) of the Regulations, grants the following exemption.

#### Definitions

2. In this certificate-

"London Underground" means London Underground Limited, the infrastructure manager (formerly the infrastructure controller) whose registered office is at 3<sup>rd</sup> Floor Albany House, 55 Broadway, London SW1H 0BD;

"LUL Reference Manual" means the document entitled, 'Reference Manual for the Operation and Maintenance of the Railway' prepared by London Underground.

"relevant procedures" means the following sections of the LUL Reference Manual:

- a) Possessions - Am 100 issue 07; Am 101 issue 04; Am 109 issue 03; Am 110 issue 04,
  - b) Specified Areas - Ab 201 issue 02,
  - c) Engineer's Current Areas - Ab 202 issue 02,
- as annexed to this certificate.

"operational safety plan" means the document 'Operation of a single engineer's train on the Victoria Line' dated 24<sup>th</sup> May 2005, as annexed to this certificate.

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1. amended by paragraph 8 of the Schedule to the Health and Safety (Enforcing Authority for Railways and Other Guided Transport Systems) Regulations 2006



Doc # 245387.05

“engineering train” means a non-passenger carrying train that operates for the purpose of engineering work.

“TransPlant” is an operator of engineering trains, whose registered office is at Tube Lines Limited, 15 Westferry Circus, Canary Wharf, London E14 4HD.

### **Exemption**

3. TransPlant is exempt from the prohibition contained in regulation 3(1) of the Regulations (prohibition on operating a train on a railway unless a train protection system is in service) in relation to engineering trains on London Underground infrastructure which are operating in possessions, specified areas, and engineer’s current areas, and engineering trains whose operations are covered by the operational safety plan.

### **Conditions**

4. This exemption is granted subject to the requirements contained in the relevant procedures and the operational safety plan being met in full.
5. This exemption comes into effect at 0001 hours on 01<sup>st</sup> August 2006. In accordance with section 6(1) of the Regulations, it may be revoked by ORR at any time by a certificate in writing.

Signed by  .....  
27 July 2006

[HM Deputy Chief Inspector of Railways]

On behalf of the Office of Rail Regulation